

FAT FLATS IN RANK-ONE MANIFOLDS

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ABSTRACT. We study closed non-positively curved Riemannian manifolds M which admit ‘fat k -flats’: that is, the universal cover \tilde{M} contains a positive radius neighborhood of a k -flat on which the sectional curvatures are identically zero. We investigate how the fat k -flats affect the cardinality of the collection of closed geodesics. Our first main result is to construct rank-one non-positively curved manifolds where the fat flat corresponds to a *twisted cylindrical neighborhood* of a geodesic on M . As a result, M contains an embedded periodic geodesic with a flat neighborhood, but M nevertheless has only countably many closed geodesics. Such metrics can be constructed on finite covers of arbitrary odd-dimensional finite volume hyperbolic manifolds. Our second main result is to prove a closing theorem for fat flats, which implies that a manifold M with a fat k -flat contains an immersed, totally geodesic k -dimensional flat closed submanifold. This guarantees the existence of uncountably many closed geodesics when $k \geq 2$. Finally, we collect results on thermodynamic formalism for the class of manifolds considered in this paper.

1. INTRODUCTION

A basic characteristic of a dynamical system is the cardinality of its collection of periodic orbits (finite, countable, uncountable?). A basic characteristic of a manifold is the cardinality of its collection of closed geodesics, which are the periodic orbits for the geodesic flow of the manifold. It is interesting to see what cardinalities can be achieved for a given class of dynamical systems, and to investigate conditions that may give restrictions on what is possible. We investigate this question for the geodesic flow of a certain class of rank-one manifolds with non-positive curvature.

A *fat k -flat* in \tilde{M} is a k -flat in \tilde{M} with a neighborhood isometric to $\mathbb{R}^k \times B_w$, where $B_w \subset \mathbb{R}^{n-k}$ is a w -radius ball. In the special case $k = 1$, we call a fat 1-flat a *fat geodesic*, and call the product neighborhood around the geodesic $\tilde{\gamma} \subset \tilde{M}$ a *flat cylindrical neighborhood* of $\tilde{\gamma}$. We occasionally abuse terminology and call a closed immersed submanifold in M *fat* if it lifts to a fat flat in the universal cover \tilde{M} .

Non-positively curved manifolds where the zero sectional curvatures are concentrated on flats are the simplest class of rank-one manifolds for which the geodesic flow is not Anosov. Those for which the zero sectional curvatures are concentrated on fat flats are perhaps the simplest class of rank-one manifolds for which the geodesic flow does not have the specification property [CLT16]. This provides motivation to study this relatively simple class of non-positively curved manifolds, whose geodesic flows nevertheless exhibits dynamical features different from the classical hyperbolic dynamics.

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For a rank-one manifold, one might imagine that a fat flat is an obstruction to having only countably many closed geodesics in M . This is true in the surface case where Cao and Xavier have shown that flat strips close up to an immersion of the product of S^1 with an interval [CX08]. We provide examples that show that this phenomenon does not persist for odd-dimensional manifolds; more precisely, rank-one manifolds with twisted flat cylindrical neighborhoods may admit only countably many closed geodesics. On the other hand, we will show that if \tilde{M} has a fat k -flat for $k \geq 2$, then this forces the manifold to have an uncountable collection of closed geodesics.

Our first main result is to construct examples of rank-one manifolds in any odd dimension for which a countable collection of closed geodesics co-exists with the presence of a flat neighborhood. We call an open neighborhood of a closed geodesic γ in M a *twisted cylinder neighborhood* if it lifts to a flat cylindrical neighborhood of $\tilde{\gamma}$ in \tilde{M} , and the holonomy around the periodic geodesic γ , given by a matrix in $\mathrm{SO}(n-1)$, is non-trivial. We will show rigorously that the twisting matrix can prevent any geodesic in the twisted cylinder neighborhood (other than the central geodesic γ) from being closed. More precisely, we have:

Theorem A. *Let M be an odd-dimensional, finite volume, hyperbolic $(2n+1)$ -manifold. Then M has a finite cover $\tilde{M} \rightarrow M$ which supports non-positively curved Riemannian metrics g so that $\gamma \subset (\tilde{M}, g)$ has a twisted cylinder neighborhood N , with holonomy having all eigenvalues irrational multiples of π . In particular:*

- (1) *the sectional curvatures of M are everywhere ≤ 0 ,*
- (2) *the closed geodesic γ lifts to a fat geodesic $\tilde{\gamma} \hookrightarrow \tilde{M}$ of some positive radius $r > 0$, but*
- (3) *there are only countably many closed geodesics in (\tilde{M}, g) .*

The presence of only countably many closed geodesics implies that the flat R -neighborhood of γ *cannot* be lifted to a (metrically) product neighborhood in any finite cover of \tilde{M} , even though it lifts to such a product in the universal cover \tilde{M} .

For the examples provided by Theorem A, the twisted cylinder neighborhood contains uncountably many non-closed geodesics. In contrast, for smooth rank-one *surfaces*, no examples are known for which there exists a non-closed geodesic contained in a flat region for all time. Such geodesics have been ruled out for a large class of rank-one surfaces [Wu13], but the question of whether such examples can exist in general remains open, and is related to the question of ergodicity of the geodesic flow. See [BM13] for an interesting discussion of these issues.

The proof of Theorem A falls into two parts. First, we argue that every odd-dimensional finite volume hyperbolic manifold contains a closed geodesic whose holonomy has no finite order eigenvalues. While this result can be deduced from the work of Prasad–Rapinchuk [PR03], we give a self-contained, elementary proof in §2. Once we have such a geodesic, we can pass to a finite cover so that the lift is an embedded geodesic γ , and gradually “flatten out” the metric in a neighborhood of γ while keeping the same holonomy – this process is explained in §3.

Our second main theorem is a closing theorem for fat k -flats.

Theorem B. *Suppose that \tilde{M}^n contains a fat k -flat. Then M^n contains an immersed totally geodesic flat k -dimensional closed submanifold $N^k \hookrightarrow M^n$, with the property that \tilde{N}^k is a fat k -flat.*

Note that, while the flat neighborhood of \tilde{N}^k in the universal cover splits isometrically as a product metric, this might no longer be true at the level of the compact quotient N^k . There will be a holonomy representation encoding how the group $\pi_1(N^k)$ acts on the (trivial) normal bundle to \tilde{N}^k .

Theorem B is established in §4, and generalizes some unpublished work of Cao and Xavier [CX08], who proved the $k = 1, n = 2$ case of this result. The method of proof is to argue that if N^k does not exist, then we can use a ‘maximal’ fat flat F to construct an increasing sequence of *framed flat boxes* (see Definition 4.10). We then use a compactness argument to take a limit of these flat boxes, yielding a fat k -flat strictly larger than F , and hence a contradiction.

Our proof of Theorem B crucially uses the fact that the flat in \tilde{M} is fat. Without the fatness hypothesis, the question of closing flats for C^∞ metrics remains open [BM13]. This question is attributed to Eberlein. Affirmative answers have been obtained for codimension one flats [Sch90], and when the metric is analytic [BS91].

One might wonder if there is a purely metric geometry proof of Theorem B that would hold for CAT(0) spaces. An example of Wise [Wis03] shows that the analogous result *fails* in this broader setting. Wise constructs an example of a finite square complex X which is locally CAT(0), and whose universal cover \tilde{X} contains a 2-flat that is not only *aperiodic*, but which is not even a limit of periodic 2-flats.

As a corollary of Theorem B, we obtain the following result about the cardinality of the collection of closed geodesics for a manifold admitting a fat k -flat.

Corollary C. *Suppose that \tilde{M}^n contains a fat k -flat F .*

- (1) *If $k = 1$, then there exists a closed geodesic γ in M for which the neighborhood of the geodesic has zero sectional curvatures. By Theorem A, it is possible that there are no other closed geodesics contained in the flat neighborhood of γ .*
- (2) *If $k \geq 2$, then for all $1 \leq l < k$, M contains uncountably many immersed, closed, totally geodesic, flat l -submanifolds. In particular, there must be uncountably many closed geodesics.*

In §5, we add to our study of rank-one manifolds with fat flats by collecting results on thermodynamic formalism for the geodesic flow in this setting. This question has been studied recently by Burns, Climenhaga, Fisher and Thompson [BCFT17]. We state the results proved there as they apply to rank-one manifolds for which the sectional curvatures are strictly negative away from zero curvature neighborhoods of some fat flats.

2. HOLONOMY OF GEODESICS IN HYPERBOLIC MANIFOLDS

In this section, we prepare for the proof of Theorem A, by analyzing the possible holonomy along geodesics inside finite volume hyperbolic manifolds. Recall that every hyperbolic element $\gamma \in \mathrm{SO}_0(n, 1)$ is conjugate to a matrix of the form $\begin{pmatrix} T_\gamma & 0_{n-1,2} \\ 0_{2,n-1} & D_\gamma \end{pmatrix}$ where $T_\gamma \in \mathrm{O}(n-1)$, $0_{i,j}$ denotes the i, j matrix with zero entries, and $D_\gamma = \mathrm{diag}(\lambda_\gamma, \lambda_\gamma^{-1}) = \begin{pmatrix} \lambda_\gamma & 0 \\ 0 & \lambda_\gamma^{-1} \end{pmatrix}$ with $\lambda_\gamma \in \mathbb{R}$ and $|\lambda_\gamma| > 1$ (see [LR10, Sec 5.1]).

The hyperbolic element γ acts on \mathbb{H}^n by translation along a geodesic axis. The matrix D_γ encodes the translational distance along the axis, while the matrix T_γ captures the rotational effect around the axis. The matrix T_γ will be called the

holonomy of the element γ . The element is said to be *purely irrational* if every eigenvalue of T_γ has infinite (multiplicative) order. At the other extreme, the element is said to be *purely translational* if T_γ is the identity matrix.

In §2.1, we prove the key proposition, which establishes the existence of closed geodesics with the maximal number of infinite order eigenvalues in the holonomy. In §2.2, we explain how, at the other extreme, our method can also be used to produce geodesics that are purely hyperbolic (i.e. whose holonomy map is the identity).

2.1. Geodesics with purely irrational holonomy. In this section, we will focus on finding hyperbolic elements whose holonomy have the maximal number of eigenvalues with infinite order. More precisely, we show:

Proposition 2.1. *Let $\Gamma < \mathrm{SO}_0(n, 1)$ be a lattice with $n \geq 3$.*

- (a) *If n is odd, there exists a purely irrational element $\gamma \in \Gamma$.*
- (b) *If n is even, there exists a hyperbolic element $\gamma \in \Gamma$ such that $n - 2$ of the eigenvalues of T_γ have infinite order.*

Remark. Before proceeding, we make a few remarks.

- (i) When n is even, it is well known that T_γ^2 must have 1 as an eigenvalue. In particular, in (b) the hyperbolic element $\gamma \in \Gamma$ has holonomy with the maximum number of eigenvalues with infinite order.
- (ii) Proposition 2.1 improves on Long–Reid [LR10, Thm 1.2] who proved that there exists a hyperbolic element $\gamma \in \Gamma$ such that T_γ has infinite order.
- (iii) The proof of Proposition 2.1 extends to any finitely generated Zariski dense subgroup Γ of $\mathrm{SO}_0(n, 1)$ with coefficients in the algebraic closure $\overline{\mathbb{Q}}$ of \mathbb{Q} .

As noted in [LR10], both [LR10, Thm 1.2] and Proposition 2.1 can be deduced from [PR03, Thm 1]. We will instead give an elementary proof of this result.

In order to prove Proposition 2.1, we begin with some background material on non-degenerate bilinear forms over finite fields and their associated isometry groups. We refer the reader to [O’M00] (see also [Wil09, Sec 3.7]). Throughout, \mathbb{F}_q will denote the unique finite field of cardinality $q = p^t$ where $p \in \mathbb{N}$ is an odd prime and $t \in \mathbb{N}$. There are two non-degenerate bilinear forms B on \mathbb{F}_q^2 up to isometry. The isotropic form B_h is given in coordinates by the matrix $\mathrm{diag}(1, 1)$. The anisotropic form B_a is given in coordinates by $\mathrm{diag}(1, \alpha)$ where $\alpha \in \mathbb{F}_q^\times - (\mathbb{F}_q)^2$. Alternatively, B_a is the bilinear form associated to the quadratic form given by the norm $N_{\mathbb{F}_{q^2}/\mathbb{F}_q}$ where \mathbb{F}_{q^2} is the unique quadratic extension of \mathbb{F}_q and $N_{\mathbb{F}_{q^2}/\mathbb{F}_q}(\alpha) = \alpha\bar{\alpha}$ where $\bar{\alpha}$ is the Galois conjugate of α . For a non-degenerate bilinear form B on \mathbb{F}_q , we denote the associated orthogonal, special orthogonal groups by $\mathrm{O}(B, \mathbb{F}_q), \mathrm{SO}(B, \mathbb{F}_q)$. The group $\mathrm{SO}(B_h, \mathbb{F}_q)$ is a cyclic group of order $q - 1$. If λ_q is a generator for \mathbb{F}_q^\times , the generator for $\mathrm{SO}(B_h, \mathbb{F}_q)$ can be taken to be conjugate in $\mathrm{GL}(2, \mathbb{F}_q)$ to $\mathrm{diag}(\lambda_q, \lambda_q^{-1})$. The group $\mathrm{SO}(B_a, \mathbb{F}_q)$ is a cyclic group of order $q + 1$. If $\lambda_{q,2} \in \mathbb{F}_{q^2}$ is a generator of the cyclic group of elements of norm 1, then we can take a generator of $\mathrm{SO}(B_a, \mathbb{F}_q)$ to be conjugate in $\mathrm{GL}(2, \mathbb{F}_{q^2})$ to $\mathrm{diag}(\lambda_{q,2}, \lambda_{q,2}^{-1})$. There are two equivalence classes of bilinear forms on \mathbb{F}_q^{2n+1} given by $B = B_h \oplus \cdots \oplus B_h \oplus \langle \alpha \rangle$ where $\langle \alpha \rangle$ is a 1-dimension bilinear form given by $\alpha \in \mathbb{F}_q^\times$; the equivalence class is determined by when $\alpha \in (\mathbb{F}_q)^2$. However, the associated orthogonal, special orthogonal groups are isomorphic. We denote the orthogonal, special orthogonal groups in this case by $\mathrm{O}(2n+1, q), \mathrm{SO}(2n+1, q)$, and note $\prod_{i=1}^n \mathrm{SO}(B_h, \mathbb{F}_q) < \mathrm{SO}(2n+1, q)$. On \mathbb{F}_q^{2n} , there

are two isomorphism types for each $O(2n, q), SO(2n, q)$. The first isomorphism type is associated to the bilinear form $B_{+,n} = B_h \oplus \cdots \oplus B_h$ and we denote the associated orthogonal, special orthogonal groups by $O_+(2n, q), SO_+(2n, q)$. In this case, we have $\prod_{i=1}^n SO(B_h, \mathbb{F}_q) < SO_+(2n, q)$. The second isomorphism type is associated to the bilinear form $B_{-,n} = B_h \oplus \cdots \oplus B_h \oplus B_a$ and we denote the associated orthogonal, special orthogonal groups by $O_-(2n, q), SO_-(2n, q)$. In this case, we have $(\prod_{i=1}^{n-1} SO(B_h, \mathbb{F}_q)) \times SO(B_a, \mathbb{F}_q) < SO_-(2n, q)$. From above, we obtain the following lemma (see [Wil09, Sec 3.7.4]).

Lemma 2.2. *Let $n \in \mathbb{N}$ with $n \geq 1$ and $q = p^t$ for an odd prime p .*

- (a) $O(2n+1, q)$ and $SO(2n+1, q)$ have an element with n eigenvalues equal to λ_q , n eigenvalues equal to λ_q^{-1} , and one eigenvalue equal to 1.
- (b) $O_+(2n, q)$ and $SO_+(2n, q)$ have an element with n eigenvalues equal to λ_q and n eigenvalues equal to λ_q^{-1} .
- (c) $O_-(2n, q)$ and $SO_-(2n, q)$ have an element with $n-1$ eigenvalues equal to λ_q , $n-1$ eigenvalues equal to λ_q^{-1} , and one eigenvalue each equal to $\lambda_{q,2}, \lambda_{q,2}^{-1}$.

Given a lattice $\Gamma < SO_0(n, 1)$ with $n \geq 3$, we can conjugate Γ so that the field of definition k_Γ is real number field (see [LR10, Sec 4.1]). If $\gamma \in \Gamma$ has an eigenvalue of finite multiplicative order, then the splitting field for the characteristic polynomial will contain a root of unit ζ_m for some m . By [LR10, Prop 2.1], there exists $M_\Gamma \in \mathbb{N}$ depending only on n and $[k_\Gamma : \mathbb{Q}]$ such that $m \leq M_\Gamma$. Thus, we have:

Lemma 2.3. *If $\gamma \in \Gamma$ and λ is an eigenvalue for γ , then either $\lambda^m = 1$ for some $m \leq M_\Gamma$ or λ has infinite order.*

Proof of Proposition 2.1. Setting k_Γ to be the field of definition for Γ and \mathcal{O}_{k_Γ} to be the ring of k_Γ -integers, let R_Γ be ring generated over \mathcal{O}_{k_Γ} by the matrix coefficients $\Gamma < SO_0(n, 1; k_\Gamma)$. The ring $R_\Gamma = \mathcal{O}_{k_\Gamma}[\mathfrak{p}_1^{-1}, \dots, \mathfrak{p}_r^{-1}]$ where $\mathfrak{p}_j < \mathcal{O}_{k_\Gamma}$ are prime ideals. For every prime ideal $\mathfrak{p} < \mathcal{O}_{k_\Gamma}$ with $\mathfrak{p} \neq \mathfrak{p}_1, \dots, \mathfrak{p}_r$, the associated prime ideal $\mathfrak{P} = \mathfrak{p}R_\Gamma < R_\Gamma$ satisfies $R_\Gamma/\mathfrak{P} \cong \mathcal{O}_{k_\Gamma}/\mathfrak{p} \cong \mathbb{F}_q$ where $q = p^t$ for some $t \leq [k_\Gamma : \mathbb{Q}]$. When n is even, we have homomorphisms $r_{\mathfrak{P}}: SO_0(n, 1; R_\Gamma) \rightarrow SO(n+1, q)$ given by reducing the coefficients modulo \mathfrak{P} . By strong approximation (see [LR10, Thm 5.3 (1)]), there is a cofinite set of prime ideals \mathfrak{P} of R_Γ such that $\Omega(n+1, q) \leq r_{\mathfrak{P}}(\Gamma) < SO(n+1, q)$ where $\Omega(n+1, q)$ is the commutator subgroup of $SO(n+1, q)$ and has index two in $SO(n+1, q)$. When n is odd, all of the above carries over with $\bar{r}_{\mathfrak{P}}: PSO_0(n, 1; R_\Gamma) \rightarrow PSO_\pm(n+1, q)$ in place of $r_{\mathfrak{P}}$. By strong approximation (see [LR10, Thm 5.3 (2)]), there is an infinite set of prime ideals \mathfrak{P} of R_Γ such that $\Omega_\pm(n+1, q) \leq \bar{r}_{\mathfrak{P}}(\Gamma) < PSO_\pm(n+1, q)$ where $\Omega_\pm(n+1, q)$ is the commutator subgroup of $PSO_\pm(n+1, q)$ and has index two in $PSO(n+1, q)$. Additionally, the group $PSO(n+1, q)$ is the quotient of $SO(n+1, q)$ by its center which has order $\gcd(4, q-1)$. We now take a prime ideal $\mathfrak{P} < R_\Gamma$ such that $|R_\Gamma/\mathfrak{P}| - 1 = q - 1 > 8M$. By Lemma 2.2 and the above discussion, there exists $g \in r_{\mathfrak{P}}(\Gamma), \bar{r}_{\mathfrak{P}}(\Gamma)$, respectively, such that the following holds. When n is odd, every eigenvalue of g has multiplicative order at least $(q-1)/8$ and when n is even, all but one eigenvalue of g has multiplicative order at least $(q-1)/2$. For any $\gamma \in r_{\mathfrak{P}}^{-1}(g), \bar{r}_{\mathfrak{P}}^{-1}(g)$, respectively, we see that either all of the eigenvalues of γ have multiplicative order at least $(q-1)/8$ or all but one of the eigenvalues of γ have multiplicative order at least $(q-1)/2$; note that the characteristic polynomial $c_\gamma(t) \in R_\Gamma[t]$ of γ and the characteristic polynomial $c_g(t) \in \mathbb{F}_q[t]$ of g are related via $c_\gamma(t) = c_g(t) \pmod{\mathfrak{P}}$. By selection of \mathfrak{P} , we see

that either all of the eigenvalues of γ have multiplicative order greater than M_Γ or all but one of the the eigenvalues have multiplicative order greater than M_Γ depending only of the odd/even parity of n . Lemma 2.3 completes the proof. \square

Remark. When n is odd, so long as $n \neq 7$ or Γ is not an arithmetic lattice arising from triality, the infinite set of primes in the proof of Proposition 2.1 can be taken to be a cofinite set. When $n = 7$ and Γ arises from triality, this set can only be taken to be infinite as Γ will have infinite many primes with image contained in G_2 .

2.2. Purely hyperbolic geodesics. Proposition 2.1 provides us with elements whose holonomy has the maximal number of infinite order eigenvalues. It is reasonable to ask if one can instead find elements with some prescribed (fewer) number of infinite order eigenvalues. For example, if there are no infinite order eigenvalues, then one is looking for an element whose finite power is purely hyperbolic. Using our Proposition 2.1, we obtain:

Corollary 2.4. *Let n, j be integers such that $n \geq 3$, j is even, and $0 \leq j \leq n - 1$.*

- (a) *If n is odd and $j > 0$, then for any arithmetic lattice $\Gamma < \mathrm{SO}_0(n, 1)$ that does not arise from triality (e.g. if $n \neq 7$), there exists a hyperbolic element $\gamma \in \Gamma$ such that T_γ has j eigenvalues with infinite order and has 1 as an eigenvalue with multiplicity $(n - 1) - j$.*
- (b) *If n is even, then for any arithmetic lattice $\Gamma < \mathrm{SO}_0(n, 1)$, there exists a hyperbolic element $\gamma \in \Gamma$ such that T_γ has j eigenvalues with infinite order and has 1 as an eigenvalue with multiplicity $(n - 1) - j$. In particular, Γ has a purely hyperbolic element.*
- (c) *If n is odd, there exist infinitely many commensurability classes of arithmetic lattices $\Gamma < \mathrm{SO}_0(n, 1)$ that have a purely hyperbolic element.*

Remark. Before proving Corollary 2.4, we make a few more remarks.

- (i) Having a hyperbolic element that satisfies any of the properties in Proposition 2.1 or Corollary 2.4 is a commensurability invariant since these properties are stable under conjugation and finite powers. Hence, we can take $\Gamma < G$ for any G isogenous to $\mathrm{SO}_0(n, 1)$ (e.g., $G = \mathrm{Isom}(\mathbb{H}^n)$).
- (ii) There are examples of arithmetic hyperbolic 3-manifolds without any purely hyperbolic elements. Viewing the group of orientation preserving isometries of hyperbolic 3-space as $\mathrm{PSL}(2, \mathbb{C})$, a purely hyperbolic element $\gamma \in \mathrm{PSL}(2, \mathbb{C})$ must have a real trace. Chinburg–Reid [CR93] constructed infinitely many commensurability classes of arithmetic hyperbolic 3-manifolds for which every non-trivial element has a trace in $\mathbb{C} - \mathbb{R}$. Consequently, one cannot improve (c) in the case of $n = 3$.

In the proof of Corollary 2.4, we require the following consequence of the classification of arithmetic lattices in $\mathrm{SO}_0(n, 1)$. For a more detailed discussion, we refer the reader to [WM, Sec 6.4] (see also [Mey13], [Mey14]).

- Lemma 2.5.**
- (a) *If n is odd, then for every arithmetic (cocompact) lattice $\Gamma < \mathrm{SO}_0(n, 1)$ that does not arise from triality (e.g. if $n \neq 7$) and every integer $3 \leq 2j + 1 \leq n$, there exists $\mathbf{G} < \mathrm{SO}_0(n, 1)$ with $\mathbf{G} \cong \mathrm{SO}_0(2j + 1, 1)$ such that $\Delta_j = \mathbf{G} \cap \Gamma$ is a (cocompact) lattice in \mathbf{G} .*
 - (b) *If n is even, then for every arithmetic (cocompact) lattice $\Gamma < \mathrm{SO}_0(n, 1)$ and every integer $2 \leq j < n$, there exists $\mathbf{G} < \mathrm{SO}_0(n, 1)$ with $\mathbf{G} \cong \mathrm{SO}_0(j, 1)$ such that $\Delta_j = \mathbf{G} \cap \Gamma$ is a (cocompact) lattice in \mathbf{G} .*

- (c) *If n is odd, then there exists infinitely many commensurability classes of arithmetic (cocompact) lattices Γ in $\mathrm{SO}_0(n, 1)$ with $\mathbf{G} < \mathrm{SO}_0(n, 1)$ and $\mathbf{G} \cong \mathrm{SO}_0(2, 1)$ such that $\Delta_2 = \mathbf{G} \cap \Gamma$ is a (cocompact) lattice in \mathbf{G} .*

Proof of Corollary 2.4. For (a), we apply Lemma 2.5 (a) for $j + 1$, and deduce, for every arithmetic lattice $\Gamma < \mathrm{SO}_0(n, 1)$ that does not arise from triality, that there exists $\mathbf{G} < \mathrm{SO}_0(n, 1)$ with $\mathbf{G} \cong \mathrm{SO}_0(j + 1, 1)$ such that $\Delta_j = \mathbf{G} \cap \Gamma$ is a lattice in \mathbf{G} . In particular, Δ_j is conjugate into $\mathrm{SO}_0(j + 1, 1) < \mathrm{SO}_0(n, 1)$ where $\mathrm{SO}_0(j + 1, 1)$ corresponds to the subgroup

$$\left\{ \begin{pmatrix} \mathrm{I}_{n-j} & 0_{n-j, j+1} \\ 0_{j+1, n-j} & A \end{pmatrix} : A \in \mathrm{SO}_0(j + 1, 1) \right\}.$$

Since $j + 1$ is odd, by Proposition 2.1, we can find $\gamma \in \Delta_j$ such that T_γ has j eigenvalues of with infinite order. By construction, the remaining $(n - 1) - j$ eigenvalues of T_γ are 1. For (b), we apply Lemma 2.5 (b) for $j + 1$, and so for every arithmetic lattice $\Gamma < \mathrm{SO}_0(n, 1)$, there exists $\mathbf{G} < \mathrm{SO}_0(n, 1)$ with $\mathbf{G} \cong \mathrm{SO}_0(j + 1, 1)$ such that $\Delta_j = \mathbf{G} \cap \Gamma$ is a lattice in \mathbf{G} . The remainder of the proof is identical to part (a). To obtain a purely hyperbolic element, we apply Lemma 2.5 for $j = 0$ to obtain $\Delta_2 < \Gamma$ given by $\Delta_2 = \mathbf{G} \cap \Gamma$ with $\mathbf{G} \cong \mathrm{SO}_0(2, 1)$, $\mathbf{G} < \mathrm{SO}_0(n, 1)$. As any hyperbolic element $\gamma \in \Delta_2$ is purely hyperbolic (after taking the square if necessary), Γ contains a purely hyperbolic element. For (c), by Lemma 2.5 (c), there exist infinitely many commensurability classes of arithmetic lattices $\Gamma < \mathrm{SO}_0(n, 1)$ with $\mathbf{G} < \mathrm{SO}_0(n, 1)$ and $\mathbf{G} \cong \mathrm{SO}_0(2, 1)$ such that $\Delta_2 = \mathbf{G} \cap \Gamma$ is a lattice in \mathbf{G} . As in (b), we conclude that Γ contains a purely hyperbolic element. \square

3. FLAT CYLINDERS WITH PURELY IRRATIONAL HOLONOMY

In this Section, we complete the proof of Theorem A. The arguments here are differential geometric in nature – we “flatten out” the metric on a hyperbolic manifold inside a neighborhood of a suitably chosen geodesic. In order to do this, we start in Section 3.1 by constructing smooth functions with certain specific properties. These functions are then used in Section 3.2 to radially interpolate from the hyperbolic metric (away from the geodesic) to a flat metric (near the geodesic). Finally, in Section 3.3 we put together all the pieces and establish Theorem A.

3.1. Some smooth interpolating functions. In this section, we establish the existence of smooth interpolating functions satisfying certain technical conditions. Specifically, we show:

Proposition 3.1. *For R sufficiently large, there exist C^∞ functions σ and τ satisfying $\sigma, \tau \geq 0$, $\sigma' \geq 1$, $\tau' \geq 0$ and $\sigma'', \tau'' \geq 0$ for all $r \geq 0$, and*

$$\sigma(r) = \begin{cases} \sinh(r) & r \geq R \\ r & r \leq 1/R \end{cases} \quad \tau(r) = \begin{cases} \cosh(r) & r \geq R \\ 1 & r \leq 1/R. \end{cases}$$

Proof. Let $\rho(r)$ be a C^∞ function satisfying $0 \leq \rho(r) \leq 1$, $\rho'(r) \geq 0$ and

$$\rho(r) = \begin{cases} 0 & r \leq 1/R \\ 1 & r \geq R. \end{cases}$$

We will choose an explicit ρ below.

Let $\sigma(r) = \rho(r) \sinh(r) + (1 - \rho(r))r$ and $\tau(r) = \rho(r) \cosh(r) + (1 - \rho(r))$. Then σ and τ are positive, C^∞ , and satisfy the desired conditions on $r \leq 1/R$ and $r \geq R$. It remains to choose ρ so that the conditions on the derivatives of σ and τ are satisfied.

We calculate the first derivatives:

$$\begin{aligned}\sigma'(r) &= 1 + \rho'(r)(\sinh(r) - r) + \rho(r)(\cosh(r) - 1), \\ \tau'(r) &= \rho'(r)(\cosh(r) - 1) + \rho(r) \sinh(r)\end{aligned}$$

and see they are non-negative, since $\rho \geq 0$ and $\rho' \geq 0$. Moreover, from the first equation above it is clear that $\sigma' \geq 1$. Similarly, the second derivatives are given by:

$$\begin{aligned}\sigma''(r) &= \rho''(r)(\sinh(r) - r) + 2\rho'(r)(\cosh(r) - 1) + \rho(r) \sinh(r), \\ \tau''(r) &= \rho''(r)(\cosh(r) - 1) + 2\rho'(r) \sinh(r) + \rho(r) \cosh(r).\end{aligned}$$

Whenever $\rho''(r) \geq 0$, it is again clear that $\sigma''(r)$ and $\tau''(r)$ are non-negative. Let r be such that $\rho''(r) < 0$. Then

$$\rho''(r)(\sinh(r) - r) + \rho(r) \sinh(r) \geq (\rho''(r) + \rho(r)) \sinh(r)$$

and

$$\rho''(r)(\cosh(r) - 1) + \rho(r) \cosh(r) \geq (\rho''(r) + \rho(r)) \cosh(r).$$

Therefore if, in addition to the conditions noted above, $\rho'' + \rho \geq 0$, then σ and τ will have the desired properties.

We build ρ using a standard bump function. Consider the function

$$f_k(r) = \begin{cases} e^{\frac{-k^2}{k^2 - x^2}} & |x| \leq k \\ 0 & |x| > k. \end{cases}$$

This function is clearly positive, and it is straightforward to verify that it is C^∞ . It is clear that $f'_k(x)$ is positive when $k < x < 0$, and a few calculations show that it attains its minimum when $x = \frac{k}{\sqrt[4]{3}}$, where

$$f'_k\left(\frac{k}{\sqrt[4]{3}}\right) = \frac{-3}{k^4 \sqrt[4]{3}(2 - \sqrt{3})}.$$

Finally, let $F_k(x) = \int_{-k}^x f_k(s) ds$ and let $\rho(r) = F_k(r - (k + \frac{1}{k})) / F_k(k)$ for a value of k yet to be chosen.

It is immediately clear that $0 \leq \rho(r) \leq 1$, that $\rho(r) \equiv 0$ on $r \leq \frac{1}{k}$, and that $\rho(r) \equiv 1$ on $r \geq 2k + \frac{1}{k}$. Set $R = 2k + 1$ to get the constant-value conditions we want for our ρ . It is also immediate that $\rho'(r) \geq 0$ for all r . The analysis in the previous paragraph tells us the minimum value of $\rho''(r)$, as well as the value of r at which this occurs. From the formulas, we see that this minimum value increases towards 0 as k increases and that this minimum occurs for some $r > k + \frac{1}{k}$. But, since $f_k(x)$ is symmetric about 0, it is clear from our construction that $\rho(r) \geq \frac{1}{2}$ for $r > k + \frac{1}{k}$. Therefore, choosing k large enough to ensure that $\frac{-3}{k^4 \sqrt[4]{3}(2 - \sqrt{3})} > -\frac{1}{2}$ ($k \geq 18$ is sufficient) we see that $\rho''(r) + \rho(r) \geq 0$ for all r , as desired. \square

3.2. From twisted hyperbolic to twisted flat neighborhoods. Let $\rho \in \text{SO}(2n)$ be a purely irrational rotation (i.e. one whose eigenvalues all have infinite order) and let $\ell > 0$ be a positive real number. Form a space $C_{\ell, \rho}$ as follows. First consider the quadratic equation:

$$f(t) = t^2 - 2 \cosh(\ell/2)t + 1,$$

and let $\lambda > 1$ be the larger of the two real roots of this polynomial. Form the diagonal matrix $D(\ell) = \text{diag}(\lambda, \lambda) = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}$, and consider the element $g :=$

$$\begin{pmatrix} \rho & 0_{n-1,2} \\ 0_{2,n-1} & D(\ell) \end{pmatrix}$$

where again $0_{i,j}$ denotes the $i \times j$ matrix with zero entries. This

is an element in $\text{SO}_0(2n+1, 1) = \text{Isom}(\mathbb{H}^{2n+1})$, so acts on hyperbolic space \mathbb{H}^{2n+1} . Finally, we define the space $C_{\ell, \rho}$ to be the quotient of \mathbb{H}^{2n+1} by the \mathbb{Z} -action generated by the isometry g . The hyperbolic isometry g leaves invariant a unique geodesic $\tilde{\gamma}$, whose image in $C_{\ell, \rho}^{2n+1} = \mathbb{H}^{2n+1}/\langle g \rangle$ is the unique closed embedded geodesic $\gamma \hookrightarrow C_{\ell, \rho}^{2n+1}$. By construction, the length of γ is ℓ , and the holonomy along γ is given by the matrix $\rho \in \text{SO}(2n)$ (with respect to an appropriate choice of basis). The following result is immediate:

Lemma 3.2. *Let M be an arbitrary hyperbolic $(2n+1)$ -manifold, and $\eta \hookrightarrow M$ an embedded closed geodesic with length l , holonomy $\rho \in \text{SO}(2n)$, and normal injectivity radius $R > 0$. Then the R -neighborhood of $\eta \hookrightarrow M$ is isometric to the R -neighborhood of $\gamma \hookrightarrow C_{l, \rho}^{2n+1}$.*

We now explain how to “flatten out” the hyperbolic metric on $C_{\ell, \rho}$ near the periodic geodesic γ , while retaining the same holonomy. This is the content of the following:

Proposition 3.3. *If $R > 37$, and $n \geq 1$, then $C_{\ell, \rho}^{2n+1}$ supports a Riemannian metric g with the following properties:*

- (1) *outside of the R -neighborhood of γ , g coincides with the hyperbolic metric,*
- (2) *inside the $(1/R)$ -neighborhood of γ , g is flat,*
- (3) *the sectional curvature on every 2-plane is non-positive, and*
- (4) *the holonomy around γ is given by the matrix ρ .*

Proof. Consider \mathbb{R}^{2n+1} , with generalized cylindrical coordinates, and equipped with the metric

$$(3.1) \quad dr^2 + \sinh^2(r)d\theta_{S^{2n-1}}^2 + \cosh^2(r)dz^2$$

where $d\theta_{S^{2n-1}}^2$ denotes the standard (round) metric on the unit sphere $S^{2n-1} \subset \mathbb{R}^{2n}$. This space is isometric to \mathbb{H}^{2n+1} , and without loss of generality, we may assume that the isometry identifies $\tilde{\gamma}$ with the z -axis. Notice that this hyperbolic metric is symmetric around the z -axis, hence there is an action by $\text{SO}(2n) \times \mathbb{R}$, where the $\text{SO}(2n)$ factor acts by rotations around the z -axis, while the \mathbb{R} factor acts by translations in the z -direction. As a result, we can isometrically identify $C_{\ell, \rho}^{2n+1}$ with the quotient of \mathbb{R}^{2n+1} by the element $(\rho, \ell) \in \text{SO}(2n) \times \mathbb{R}$.

Now consider a new, rotationally symmetric metric on \mathbb{R}^{2n+1} , given by:

$$(3.2) \quad h := dr^2 + \sigma^2(r)d\theta_{S^{2n-1}}^2 + \tau^2(r)dz^2,$$

where σ, τ are the functions constructed in Proposition 3.1. Note that, by construction, this metric still retains an isometric action of $\text{SO}(2n) \times \mathbb{R}$, i.e. the exact same

action (set wise) is still an isometry for the h -metric. Thus, we can quotient out by the same element $(\rho, \ell) \in \text{SO}(2n) \times \mathbb{R}$ to get a new Riemannian metric on $C_{\ell, \rho}^{2n+1}$.

To conclude, we are left with verifying that the metric we constructed satisfies properties (1)-(4). Recall that the functions σ, τ constructed in Proposition 3.1 satisfy

$$\sigma(r) = \begin{cases} \sinh(r) & r \geq R \\ r & r \leq 1/R \end{cases} \quad \tau(r) = \begin{cases} \cosh(r) & r \geq R \\ 1 & r \leq 1/R \end{cases}$$

and thus that the metric h satisfies

$$h = \begin{cases} dr^2 + \sinh^2(r)d\theta_{S^{2n-1}}^2 + \cosh^2(r)dz^2 & r \geq R \\ dr^2 + r^2d\theta_{S^{2n-1}}^2 + dz^2 & r \leq 1/R \end{cases}$$

Since these are the hyperbolic and Euclidean metric respectively (in cylindrical coordinates), this immediately tells us that h has properties (1) and (2).

We now verify property (3) – i.e. that h has non-positive sectional curvature. In the case where $n = 1$ (i.e. on \mathbb{R}^3), the verification that h has non-positive sectional curvature is carried out in Corollary 6.2 in the Appendix, so we will henceforth assume that $n \geq 2$. Now let $p \in \mathbb{R}^{2n+1}$ be an arbitrary point – we will check that the sectional curvature is non-positive along all tangent 2-planes to p . Since the metric is rotationally symmetric around the z -axis, we may as well assume $p = (r_0, 0, \dots, 0, z_0)$ for some $r_0 \geq 0, z_0 \in \mathbb{R}$. Note that it is sufficient to establish non-positive sectional curvature at all points where $r_0 > 0$, i.e. points that are not on the z -axis. Indeed, the curvature function is continuous, and we can approximate any tangent 2-plane on the z -axis by a sequence of tangent 2-planes off the z -axis. So we will henceforth assume that $r_0 > 0$.

Let H be an arbitrary tangent 2-plane at the point p . The tangent space at p decomposes as a direct sum of the $(2n - 1)$ -dimensional tangent space to the sphere through p , along with a pair of 1-dimensional spaces spanned by $\frac{\partial}{\partial z}$ and $\frac{\partial}{\partial r}$ respectively. Observe that at the point p , the vectors $\{\frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_{2n}}\}$ are vectors tangent to the $(2n - 1)$ -sphere S^{2n-1} described by the equations $r = r_0, z = z_0$. Consider the projection of H onto this subspace – it has dimension at most 2. Since the rotations $\text{SO}(2n)$ around the z -axis act transitively on orthonormal pairs of tangent vectors to S^{2n-1} , we can use an isometry to move H (while fixing p) so that its projection now lies in the span of $\{\frac{\partial}{\partial x_2}, \frac{\partial}{\partial x_3}\}$. Thus the sectional curvature along *any* tangent 2-plane H to p coincides with the sectional curvature along a 2-plane lying in the span of the four vectors $\{\frac{\partial}{\partial r}, \frac{\partial}{\partial x_2}, \frac{\partial}{\partial x_3}, \frac{\partial}{\partial z}\}$.

Now consider the subspace spanned by the coordinates x_1, x_2, x_3, z . This is a copy of \mathbb{R}^4 inside \mathbb{R}^{2n+1} , and by the discussion above, every tangent 2-plane to \mathbb{R}^{2n+1} can be moved by an isometry to lie inside this \mathbb{R}^4 . Since the \mathbb{R}^4 is the fixed subset of an isometric $\text{SO}(2n - 3)$ -action (recall $n \geq 2$), it is a totally geodesic subset with respect to the h -metric. So we are just left with computing the sectional curvature for \mathbb{R}^4 with the restricted metric. A tedious but routine computation can be used to verify these curvatures are non-positive. This calculation is carried out in Proposition 6.1 in the Appendix, completing the verification of property (3).

Lastly, we need to check property (4). The space $C_{l, \rho}^{2n+1}$ is the quotient of \mathbb{R}^{2n+1} by the isometry $(\rho, l) \in \text{SO}(2n) \times \mathbb{R}$ (for the hyperbolic metric). Since the construction of the metric h is invariant under the action of $\text{SO}(2n) \times \mathbb{R}$, the metric h descends to a metric on $C_{l, \rho}^{2n+1}$. Finally, parallel transport along the z -axis is given

by (the differential of) the vertical translation. Since we are taking the quotient by (ρ, l) , it immediately follows that the holonomy along γ is still given by the same matrix ρ . This verifies property (4), and completes the proof of the Proposition. \square

3.3. Completing the proof.

We now have all the necessary ingredients for:

Proof of Theorem A. Let M be an arbitrary finite volume, odd-dimensional, hyperbolic $(2n + 1)$ -manifold. Then by Proposition 2.1(a), we can find a closed geodesic η in M whose holonomy $\rho \in \mathrm{SO}(2n)$ is purely irrational. The geodesic η might have self-intersections, and might have small normal injectivity radius, but by passing to a finite cover $\bar{M} \rightarrow M$, we can ensure that there is an embedded lift $\bar{\eta} \hookrightarrow \bar{M}$ whose normal injectivity radius is $R > 37$. By Lemma 3.2, the geodesic $\bar{\eta}$ has an R -neighborhood N isometric to an R -neighborhood of $\gamma \hookrightarrow C_{l, \rho^k}^{2n+1}$ for some integer $k \geq 1$. Of course, if ρ is purely irrational, then so is ρ^k . Applying Proposition 3.3, we can flatten out the metric inside this neighborhood N . By construction, the metric satisfies properties (1) and (2) in the statement of our theorem.

In order to verify property (3), let us assume by way of contradiction that \bar{M} , equipped with the flattened out metric near γ , contains uncountably many closed geodesics. Since $\pi_1(\bar{M})$ is countable, it follows that there exists a free homotopy class of loops which contains uncountably many geometrically distinct closed geodesics. Let η_1, η_2 be two such closed geodesics. From the flat strip theorem, it follows that there exists an isometrically embedded flat cylinder which cobounds η_1, η_2 , and hence that at each point along η_i , one has a tangent 2-plane where the sectional curvature is zero. Now for our perturbed metric on \bar{M} , the sectional curvature is strictly negative *except* in the neighborhood N of $\bar{\eta}$. Hence we see that the η_i are entirely contained in N .

From the construction of the metric in N , the metric on \tilde{N} is isometric to a neighborhood of the z -axis in the h metric on \mathbb{R}^{2n+1} (from the construction in Proposition 3.3). Under this identification, the lift of $\bar{\eta}$ is identified with the z -axis, while the lift $\tilde{\eta}_i$ of each η_i is a geodesic whose z -coordinate is unbounded (in both directions). Since the lift of η_i is at bounded distance from the z -axis, and since the h -metric on \mathbb{R}^{2n+1} is non-positively curved, $\tilde{\eta}_i$ cobounds a flat strip S with the z -axis. We now claim S has width zero, i.e. that $\tilde{\eta}_i$ coincides with the z -axis. To see this, we note that $\pi_1(N) \cong \mathbb{Z}$ is generated by the loop $\bar{\eta}$. Under the identification with \mathbb{R}^{2n+1} , this element is represented by the element $g := (\rho^k, \ell) \in \mathrm{SO}(2n) \times \mathbb{R}$. Since some power g^s leaves $\tilde{\eta}_i$ invariant, and acts by translation on the z -axis, it must leave the flat strip S invariant. If S has positive width, there exists a non-zero tangent vector $\bar{v} \in T_p S$ based at a point p on the z -axis, which is orthogonal to the z -axis. Under the action of g^s , \bar{v} is translated up along the flat strip S to a tangent vector that is still orthogonal to the z -axis. Since $g^s = (\rho^{ks}, s\ell)$, this implies that \bar{v} is an eigenvector for the matrix ρ^{ks} . But this contradicts the fact that ρ^{ks} is purely irrational. We conclude no such vector exists, and hence that S must have width zero. This now forces each of the $\tilde{\eta}_i$ to coincide with the z -axis, and since they are freely homotopic, they have the same period, so must coincide geometrically – giving us the desired contradiction. This verifies property (3), and completes the proof of Theorem A. \square

4. CLOSING THEOREM FOR FAT FLATS

Before proving Theorem B in full generality, we prove the $k = 1$ case of the statement. This special case is easier to explain, and contains all the key ideas for the general case. The $k = 1$ case of Theorem B can be rephrased as the following.

Theorem 4.1. *If some geodesic γ in M is a fat geodesic, then there exists a closed fat geodesic in M .*

After establishing preliminary lemmas in §4.1, we prove Theorem 4.1 in §4.2. Theorem 4.1 is easily seen to be true if M is flat, and we will use this fact in our proof. We explain how to extend the argument to a proof of Theorem B in §4.3.

This result can be interpreted as a closing lemma for uniform flat neighborhoods (compare with the Anosov Closing Lemma, [KH95, Cor. 18.1.8]). The argument is a version of that presented by Cao and Xavier in [CX08] for surfaces. The idea is the same, but a few complications arise in dimensions greater than two.

4.1. Preliminaries. Let FM (resp. $F\tilde{M}$) denote the n -frame bundle over M^n (resp. \tilde{M}^n). Frames are ordered, orthonormal sets of n vectors, and for a frame σ , $\sigma(i)$ denotes the i^{th} member of σ . Let $d_{FM}(\cdot, \cdot)$ denote the distance on FM induced by the Riemannian metric.

Let $Gr_k M$ (resp. $Gr_k \tilde{M}$) denote the k -Grassmann bundle over M (resp. \tilde{M}), i.e. the bundle whose fiber over p is the Grassmannian of k -planes in the tangent space at p . Denote by $d_{Gr_k M}(-, -)$ or $d_{Gr_k \tilde{M}}(-, -)$ a metric on this space.

Definition 4.2. A *framed fat flat* is a pair (F, σ) where $F \subset \tilde{M}$ and $\sigma \in F\tilde{M}$ satisfying:

- F splits isometrically as $\mathbb{R}^k \times Y$ where $k \geq 1$, $Y \subset \mathbb{R}^{n-k}$ is compact, connected, and has non-empty interior.
- $\{\sigma(1), \dots, \sigma(k)\}$ span the \mathbb{R}^k factor in the splitting of F .

We will assume Y is identified with a subset of \mathbb{R}^{n-k} in such a way that it contains a neighborhood of 0, and that the basepoint of σ has coordinate 0 in the Y -factor. We refer to Y as the *cross-section* of F .

Note that the sectional curvatures are identically zero on F . We use the terminology *fat flat* to distinguish these from the usual notion of flats, which may be of dimension strictly smaller than n , and which play an important role for higher-rank manifolds (see, e.g. [BBE85, BBS85, Bal85, BS87, Ebe96]).

We remark that our definition requires $k \leq n - 1$, since Y must have non-empty interior. We have also assumed Y is compact. This is necessary for choosing a ‘maximal’ framed fat flat (see Lemma 4.11) and for a limiting argument (see Theorem 4.5).

Definition 4.3. For a framed fat flat (F, σ) , with p the basepoint of σ , we denote the subspace of $T_p \tilde{M}$ spanned by the first k vectors of σ by $V^\infty(\sigma) \in Gr_k \tilde{M}$.

We need the following Lemmas and other tools for the proof:

Definition 4.4. Fix $R > 0$ and $\delta > 0$ and let $C_{R,\delta}^l$ denote the set of compact, connected subsets of $\bar{B}_R(0) \subset \mathbb{R}^l$ which contain $B_\delta(0)$. We endow $C_{R,\delta}^l$ with the Hausdorff distance:

$$d_H(X, Y) = \inf\{\epsilon : X \subseteq N_\epsilon(Y) \text{ and } Y \subseteq N_\epsilon(X)\}.$$

With this distance, $C_{R,\delta}^l$ is a metric space.

Theorem 4.5 (Blaschke Selection Theorem; see, e.g. [Pri40]). $C_{R,\delta}^l$ is compact.

Proof. Without the connectedness and δ -ball restrictions, this is a direct application of the Selection Theorem since $\overline{B}_R(0)$ is compact. It is clear that we can add these restrictions as the Hausdorff-distance limit of compact, connected sets will be connected, and the Hausdorff-distance limit of sets containing $B_\delta(0)$ will contain $B_\delta(0)$. \square

We also recall the following fact about nonpositive curvature. Recall that a *flat strip* is a subset isometric to $\mathbb{R} \times [0, a]$ for some $a > 0$.

Theorem 4.6. [EO73, Prop. 5.1] *Let $\tilde{\gamma}_1(t)$ and $\tilde{\gamma}_2(t)$ be (set-wise) distinct geodesics in a simply-connected, nonpositively curved manifold \tilde{M} such that $d(\tilde{\gamma}_1(t), \tilde{\gamma}_2(t))$ is bounded. Then there is a flat strip bounded by $\tilde{\gamma}_1$ and $\tilde{\gamma}_2$ in \tilde{M} .*

This theorem implies:

Lemma 4.7. *Any framed fat flat in \tilde{M} is contained in a framed fat flat for which the Y -factor is convex.*

Proof. Let (F, σ) be a framed fat flat. For any distinct pair of points y_1, y_2 in Y and any unit vector $v \in \mathbb{R}^k$, the geodesics $\tilde{\gamma}_i = \mathbb{R}v \times \{y_i\}$ are at bounded distance in \tilde{M} , and hence bound a flat strip. As this holds for all directions v and all pairs y_1, y_2 , the convex hull of F in \tilde{M} is flat. Together with σ it is the desired framed fat flat. \square

We also need the following simple geometric fact.

Lemma 4.8. *Let $Y \subset \mathbb{R}^l$ be a compact, convex subset with non-empty interior. Let $A \in \text{Isom}(\mathbb{R}^l)$. Then if the translational part of A is non-zero, $\text{vol}_l(Y \cup A(Y)) > \text{vol}_l(Y)$.*

Proof. Write $A = B + v$ where v is the translational part of A . Since A is convex with non-empty interior it is the closure of its interior, and so if $\text{vol}_l(Y \cup A(Y)) = \text{vol}_l(Y)$, $A(Y)$ must be contained in Y . Let B be a ball of minimal radius containing Y . Then if $\text{vol}_l(Y \cup A(Y)) = \text{vol}_l(Y)$, $A(Y)$ must belong to both B and $B + v$. But if $v \neq 0$, $A(Y) \subset B \cap (B + v)$ belongs to a ball of radius strictly smaller than that of B , contradicting the minimality of our choice of B . \square

The main geometric tool behind our proof will be the following lemma.

Lemma 4.9. *In a non-positively curved, simply connected manifold M^n , let (F_1, σ_1) and (F_2, σ_2) be a pair of framed fat flats splitting isometrically as $\mathbb{R}^k \times Y_i$. Assume that, for $i = 1, 2$, $Y_i \in C_{R,\delta}^{n-k}$. Then for all sufficiently small $\theta > 0$, there exists some $R(\theta) > 0$ (which depends on the geometry of F_i through δ) satisfying $R(\theta) \rightarrow \infty$ as $\theta \rightarrow 0$ and such that:*

- *If $d_{FM}(\sigma_1, \sigma_2) < \theta$, and $V_1^\infty \neq V_2^\infty$ as subspaces of M^n , then $F_1 \cup F_2$ contains a subset X which splits isometrically as $B_{R(\theta)}^{\mathbb{R}^k}(0) \times Y'$, with its two factors lying along the respective factors of F_1 , and $\text{vol}_{n-k}(Y') \geq \text{vol}_{n-k}(Y_1) + C$ for some positive constant C which depends only on δ and $n - k$.*

The idea of the proof is the following. When $d_{FM}(\sigma_1, \sigma_2)$ is very small but nonzero and $V_1^\infty \neq V_2^\infty$ as subspaces of M^n , F_1 and F_2 are close to being parallel, but not parallel. Thus, at some point F_1 and F_2 have diverged slightly. Some of this divergence must happen in the directions spanned by Y_1 . Then F_1 and F_2 cover a slightly larger region Y' in the directions of this factor and run nearly parallel, their union covering a large ball in the other k directions.

Figure 1 illustrates the proof when $n = 2$ and $k = 1$.

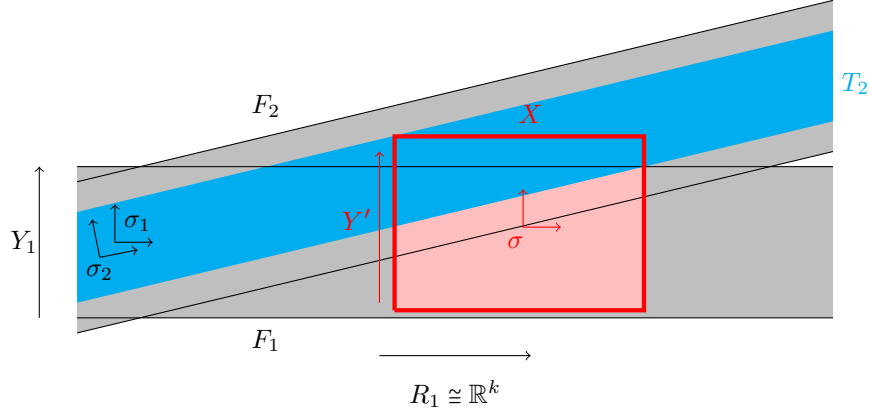


FIGURE 1. Nearby framed flats cover a strictly thicker region X .

Proof. We give the proof under the assumption that $M^n = \mathbb{R}^n$ for the sake of simplicity. The entire argument deals with the interior of $F_1 \cup F_2$, where the space is flat because of the isometric splitting, so the argument extends to the case when the ambient manifold is M^n unproblematically.

Fix the following notation. Write $F_i = R_i \times Y_i$. Note that each Y_i factor lies along a subspace of \mathbb{R}^n , which we denote W_i . F_2 contains $T_2 := R_2 \times B_2$ where $B_2 = B_\delta^{\mathbb{R}^{n-k}}(0)$ lies in the Y_2 -factor. Let $S_2 \subset T_2$ be $R_2 \times B'_2$ where $B'_2 = B_{\delta/2}^{\mathbb{R}^{n-k}}(0)$ in the Y_2 -factor.

Fix θ smaller than δ . In addition, consider the intersection of S_2 with the subspace W_1 . We further choose θ so small that this intersection has $(n-k)$ -volume at least half the volume of the $\delta/2$ -ball. Let C be $\frac{1}{4}$ the $(n-k)$ -volume of the $\delta/2$ ball.

Since $\theta < \delta$ and the distance $d_{F\mathbb{R}^n}(\sigma_1, \sigma_2)$ is bounded above by the distance between the basepoints of these frames, $F_1 \cap F_2 \neq \emptyset$; in fact $F_1 \cap S_2 \neq \emptyset$. Since $V_1^\infty \neq V_2^\infty$, at some point $w \in R_2$, $(\{w\} \times B'_2) \cap F_1 = \emptyset$. That is, near σ_1 and σ_2 's basepoints, S_2 intersects F_1 , but at some point along the \mathbb{R}^k -factor of F_2 , S_2 no longer intersects F_1 . Therefore, at some coordinate $w' \in R_1$, the affine slices of S_2 parallel to W_1 intersect F_1 nontrivially, but in less than one-half of their $(n-k)$ -volume. Hence, lying outside of F_1 we have a slice of $S_2 \subset F_2$ in the W_1 -direction of $(n-k)$ -volume at least $\frac{1}{4} \text{vol}_{n-k}(B_{\delta/2}(0))$.

Let Y' be the union of Y_1 with the W_1 -slice of S_2 at the coordinate $w' \in R_1$. By the choice of w' above, it has $(n-k)$ -volume at least $\text{vol}_{n-k}(Y) + C$. Since a uniform neighborhood of S_2 is contained in $T_2 \subset F_2$, for all w'' sufficiently close to

w' in R_1 , the parallel translation of Y' in the R_1 -direction still belongs to $F_1 \cup F_2$. Therefore, $F_1 \cup F_2$ contains a subset splitting as $B_R^{\mathbb{R}^k}(0) \times Y'$ as desired.

Finally we note that as $\theta \rightarrow 0$, T_2, S_2 , and F_1 become very nearly parallel in the R_i -directions. Therefore, the set of w'' for which the Y' defined above still lies in $T_2 \subset F_2$ contains a larger and larger ball about w' in the R_1 -factor. A precise estimate of $R(\theta)$ could be given using some simple Euclidean geometry, but this argument is sufficient to establish that R can be taken to depend only on θ and δ , with $R(\theta) \rightarrow \infty$ as $\theta \rightarrow 0$. This finishes the proof. \square

Remark. The assumption $V_1^\infty \neq V_2^\infty$ as subspaces of M^n in Lemma 4.9 is necessary to ensure we can take C independent of θ . If $V_1^\infty = V_2^\infty$ as subspaces of M^n and $d_{FM}(\sigma_1, \sigma_2)$ is small and non-zero, then F_1 and F_2 are nearby and parallel in their infinite directions. Then it is fairly clear that $F_1 \cup F_2$ form a framed fat flat with strictly larger cross-section (although in this case the increase in volume C goes to 0 as θ does). We will use this idea (and prove this statement precisely) in our proof of Theorem 4.1 below. We separate the argument into two cases according to whether V_1^∞ and V_2^∞ are parallel because the non-parallel case involves a limiting argument in which the uniform choice of C will be useful.

We supply a definition for the objects built by Lemma 4.9.

Definition 4.10. We call (X, σ) a *framed flat box* if $X \subset \tilde{M}$ splits isometrically as $B_R^{\mathbb{R}^k}(0) \times Y'$ with Y' a compact, connected subset of \mathbb{R}^{n-k} with non-empty interior, and σ is a frame based at a point in X whose first k vectors are tangent to the $B_R(0)$ factor. Call Y the *cross section* of (X, σ) and R its *length*.

Equipping the X built by Lemma 4.9 with a frame parallel to σ_1 and with basepoint having coordinate 0 in the $B_R(0)$ -factor of X , we see that Lemma 4.9 supplies a framed fat box with cross section of strictly larger $(n-k)$ -volume than that of the original fat flats.

4.2. Proof of Theorem 4.1. The idea of the proof is as follows. If there is no closed fat geodesic in M , then the fat geodesics must have accumulation points. When equipped with a frame σ with $\sigma(1) = \dot{\gamma}(0)$, a fat flat becomes a framed fat flat. Pick a framed fat flat whose cross-section Y has maximal $(n-k)$ -volume. As this fat flat accumulates on itself, using Lemma 4.9 we will build in \tilde{M} framed fat boxes with strictly larger cross-section and increasingly large length. Using the compactness of M we find a sequence (X_n, σ_n) , of framed fat boxes in \tilde{M} such that $\sigma_n \rightarrow \sigma^*$, the length of X_n goes to infinity, and – using the Selection Theorem (Theorem 4.5) – Y_n converges to Y^* . The result is a framed fat flat with strictly larger cross-sectional $(n-k)$ -volume, contradicting the choice of the original framed fat flat. Therefore our original framed fat flat of maximal cross-sectional area should contain a closed geodesic, as desired.

This is the scheme of proof used by Cao and Xavier. In higher dimensions there are two extra hurdles to overcome. First, we need to show that, unless M is flat, framed fat flats of maximal cross-sectional volume exist. This is carried out in Lemma 4.11; in dimension two this is easily dealt with by choosing the flat strip of greatest width. Second, we need to consider the case where $V_1^\infty = V_2^\infty$ when the framed fat flat accumulates on itself, ruling out an application of Lemma 4.9 and Lemma 4.9 and breaking the scheme of proof described above. We deal with the geometric situation that arises in this case separately in Lemma 4.12. We note

again that in dimension two, the geometry of this situation is particularly simple. In addition to these two hurdles we must also take care with our limiting procedure and use the Selection Theorem, an issue that does not arise in dimension two.

Lemma 4.11. *The framed fat flats in \tilde{M} satisfy the following two properties:*

- (a) *There exists some maximal $k \leq n$ such that there is a framed fat flat (F, σ) with $F \cong \mathbb{R}^k \times Y$.*
- (b) *For this value of k , choose $\delta > 0$ so that there is a framed fat flat $F \cong \mathbb{R}^k \times Y$ with $B_\delta^{\mathbb{R}^{n-k}}(0) \subset Y$. Unless M is flat, among those framed fat flats with noncompact factor of this maximal dimension whose cross-sections contain $B_\delta^{\mathbb{R}^{n-k}}(0)$, there is one with $\text{vol}_{n-k}(Y)$ maximal.*

Proof. (a) This is trivial. Let \mathcal{F} be the set of all framed fat flats in \tilde{M} . Each is isometric to $\mathbb{R}^k \times Y$ for some $k \geq 1$, so there is a maximal k which appears. Choose δ as described in (b) and let \mathcal{F}^* consist of the framed fat flats achieving the maximal value of k and with cross-sections containing $B_\delta^{\mathbb{R}^{n-k}}(0)$.

(b) First, we claim that Y is uniformly bounded over all (F, σ) in \mathcal{F}^* .

Suppose, towards a contradiction, that $(F_n, \sigma_n) \in \mathcal{F}^*$ is a sequence of framed fat flats such that Y_n contains a point y_n with $|y_n| > n$ (as a point in \mathbb{R}^{n-k}). By Lemma 4.7, we may assume Y_n is convex. Let $v_n = \frac{y_n}{|y_n|}$ be the unit vector from 0 towards y_n . We can consider v_n as a unit tangent vector in \tilde{M} based at the basepoint of σ_n . Consider the sequence $(\pi_*\sigma_n, \pi_*v_n) \in FM \times T^1(M)$. Passing to a subsequence, it has a limit point, since M is compact. Therefore, there is a sequence (γ_{n_i}) in Γ such that $D\gamma_{n_i}(\sigma_{n_i}, v_{n_i})$ accumulates to $(\sigma^*, v^*) \in FM \times T^1\tilde{M}$.

Consider the sequence of convex framed fat flats $(\gamma_{n_i} \cdot F_{n_i}, D\gamma_{n_i}\sigma_{n_i})$ in \mathcal{F}^* . Restrict each Y_{n_i} to the convex hull in \mathbb{R}^{n-k} of $B_\delta(0)$ and y_n . Then take the limit of (F_{n_i}, σ_{n_i}) , using Hausdorff convergence in the first factor. This limit exists by the following argument. By construction, $\sigma_{n_i} \rightarrow \sigma^*$, and so the δ -balls around 0 in Y_{n_i} converge to B^* , the δ -ball around 0 in the flat subspace spanned by the final $(n-k)$ vectors of σ^* . Since $D\gamma_{n_i}v_{n_i} \rightarrow v^*$ and all the Y_{n_i} are convex, The limit Y^* of the Y_{n_i} is then equal to $\bigcup_{\lambda>0}(B^* + \lambda v^*)$.

The limit (F^*, σ^*) is similar to a framed fat flat, except Y^* is non-compact, containing the half-infinite ray in the v^* direction. Consider the sequence (F^*, σ_n^*) where $\sigma_n^* = P_{nv^*}\sigma^*$ and P_{nv^*} denotes parallel translation along the vector $nv^* \in Y^* \subset F^*$. Note that (F^*, σ_n^*) contains a subset splitting isometrically as $\mathbb{R}^k \times [-n, n] \times \overline{B_\delta^{\mathbb{R}^{n-k-1}}}(0)$. The first factor is the \mathbb{R}^k factor from F^* , the second factor lies along the v^* direction, and the third in the remaining directions. Replacing the frames $P_{nv^*}\sigma^*$ with frames which have the same first k vectors and v^* as their $k+1^{\text{st}}$, these are framed fat flats, which we denote (F'_n, σ'_n) .

As above, project the (F'_n, σ'_n) down to M , find a limit point of the $\pi_*\sigma'_n$, and then lift back to \tilde{M} . We obtain a sequence γ_j such that $(\gamma_j \cdot F'_{n_j}, D\gamma_j\sigma'_{n_j})$ converges in \tilde{M} (again using Hausdorff convergence in the first term). The limit extends infinitely in both directions parallel to $\lim_j D\gamma_j v'_{n_j}$, and contains the δ -ball around 0 in the final $n-k-1$ directions. Therefore it is a framed fat flat with non-compact factor of dimension $k+1$, strictly higher than our maximal dimension.

This is a contradiction to our choice of k unless $k+1 = n$. If $k+1 = n$, \tilde{M} is flat. For all other values of k , the contradiction implies that the convex framed fat flats in \mathcal{F}^* with cross-section containing a δ -ball have uniformly bounded Y -factors,

and hence uniformly bounded $\text{vol}_{n-k}(Y)$. We can then pick one with $\text{vol}_{n-k}(Y)$ maximal. \square

We call a framed fat flat satisfying the requirements of Lemma 4.11 a *maximal framed fat flat*. Before proving the next Lemma, we remark that when two fat flats F_1, F_2 have nonempty intersection, we can determine whether two subspaces $V_1 \subset T_{p_1}\tilde{M}, V_2 \subset T_{p_2}\tilde{M}$ with basepoints in these sets are parallel by comparing them by parallel translation through $F_1 \cup F_2$.

Lemma 4.12. *Let \tilde{N} be a complete k -dimensional submanifold of \tilde{M} . Suppose that there exists some $\epsilon_0 > 0$ such that whenever $d_{Gr_k\tilde{M}}(T_p\tilde{N}, D\gamma(T_q\tilde{N})) < \epsilon_0$ for any $p, q \in \tilde{N}, \gamma \cdot \tilde{N} = \tilde{N}$. Then $\pi(\tilde{N})$ is a complete, closed, immersed submanifold of M .*

Proof. The covering map π , when restricted to \tilde{N} is an immersion. Since M is complete, we only need to verify that $N := \pi(\tilde{N})$ is closed to prove the Lemma.

Let (p_n) be a sequence in N converging to a limit p^* in M . We want to show that $p^* \in N$. Fix a lift \tilde{p}^* of p^* in \tilde{M} and a sequence of lifts (\tilde{p}_n) with $\tilde{p}_n \in \gamma_n\tilde{N}$ and $\tilde{p}_n \rightarrow \tilde{p}^*$. Let $V_n = T_{\tilde{p}_n}(\gamma_n\tilde{N}) \in Gr_k\tilde{M}$. Since the fibers of $Gr_k\tilde{M}$ are compact, there exists a subsequence (n_i) such that $V_{n_i} \rightarrow V^*$ in $Gr_k(\tilde{M})$. Then for all sufficiently large i and j , we have $d_{Gr_k\tilde{M}}(V_{n_i}, V_{n_j}) < \epsilon_0$. Then, by the assumption of the Lemma, there is a fixed γ_0 such that for all sufficiently large i , V_{n_i} is tangent to $\gamma_0\tilde{N}$. Therefore, the lifts \tilde{p}_{n_i} lie in $\gamma_0\tilde{N} \cap \overline{B}_{\epsilon_0}(\tilde{p}^*)$. Since this set is closed, the limit of the \tilde{p}_{n_i} belongs to it, and, in particular, belongs to $\gamma_0\tilde{N}$. Hence $p^* \in N$, as desired. \square

We are now ready to prove Theorem 4.1.

Proof of Theorem 4.1. As noted above, the lift of any fat geodesic is a framed fat flat (after equipping it with a frame). Since framed fat flats are present we can choose a maximal one using Lemma 4.11, unless M is flat. If M is flat, the theorem is, of course, trivial.

Let (F^*, σ^*) be a maximal framed fat flat, with cross-section Y^* and σ^* based at \tilde{p}_0 . Let $\delta > 0$ be the value appearing in the statement of Lemma 4.11. That is, (F^*, σ^*) has maximal dimension of its non-compact factor, and maximal cross-sectional area among those framed fat flats whose cross-section contains a δ -ball. Let $\tilde{N} = \exp_{\tilde{p}_0}(V^\infty(\sigma^*))$. This is a complete, totally geodesic submanifold of \tilde{M} since \tilde{M} is non-positively curved and \tilde{N} is flat.

We will initially give the proof under an assumption, which we will then verify. Recall that since \tilde{N} lies inside a fat flat, we can speak of parallel elements in $Gr_k\tilde{M}$ when their basepoints are δ -close to \tilde{N} .

Assumption (A): *There exists some $\epsilon_0 > 0$ and $< \delta$ such that whenever, for some $p, q \in \tilde{N}$ and $\gamma \in \Gamma$, we have $d_{Gr_k\tilde{M}}(T_p\tilde{N}, D\gamma(T_q\tilde{N})) < \epsilon_0$, $T_p\tilde{N}$ and $T_q\tilde{N}$ are parallel.*

Under assumption (A), a short argument using the maximality of (F^*, σ^*) allows us to apply Lemma 4.12. Since $\epsilon_0 < \delta$, we have that whenever $T_p\tilde{N}$ and $D\gamma(T_q\tilde{N})$ are less than ϵ_0 apart, F^* and $\gamma \cdot F^*$ intersect. Since the tangent spaces to their \mathbb{R}^k -factors are parallel, $(F^* \cup \gamma \cdot F^*, \sigma^*)$ is a framed fat flat. Its cross section is $Y^* \cup A(Y^*)$ where $A \in \text{Isom}(\mathbb{R}^{n-k})$. A is induced by the isometry γ .

Note that the translational part of A will be zero if and only if $D\gamma(T_q\tilde{N}) = T_p\tilde{N}$. By Lemma 4.8, if the translational part of A is non-zero, then $Y^* \cup A(Y^*)$ has strictly larger $(n - k)$ -volume than Y^* . But this contradicts the maximality of (F^*, σ^*) . Therefore we conclude that $D\gamma(T_q\tilde{N}) = T_p\tilde{N}$, and we can invoke Lemma 4.12 to conclude that $N = \pi(\tilde{N})$ is a complete, closed, immersed submanifold of M . This submanifold is totally geodesic and flat, since N is. Therefore, it contains closed geodesics, any of which is fat, finishing the proof.

To complete the proof, it remains to verify that our assumption holds. Suppose not. Then the following must hold: *There exist sequences $p_n, q_n \in \tilde{N}$ and a sequence γ_n in Γ satisfying $d_{Gr_k\tilde{M}}(T_{p_n}\tilde{N}, D\gamma_n(T_{q_n}\tilde{N})) \rightarrow 0$ with $T_{p_n}\tilde{N}$ and $D\gamma_n(T_{q_n}\tilde{N})$ not parallel.*

By projecting down to the compact space $Gr_k(M)$, passing to a subsequence and then lifting back to $Gr_k(\tilde{M})$ we can take $p_n = p$ to be constant. Choose a frame $\hat{\sigma}$ based at p whose first k vectors span $T_p\tilde{N}$, and choose frames σ_n at q_n such that $(\gamma_n \cdot F^*, D\gamma_n\sigma_n)$ are framed fat flats and $d_{F\tilde{M}}(\hat{\sigma}, D\gamma_n\sigma_n) \rightarrow 0$. We can do this because the only restriction on choosing frames is that the first k vectors span the infinite directions of the framed flat, and we know by assumption that these subspaces approach each other. Then $(F^*, \hat{\sigma})$ and $(\gamma_n \cdot F^*, D\gamma_n\sigma_n)$ are framed fat flats with Y -factors in $C_{R,\delta}^{n-k}$ for some $R > 0$ and $d_{F\tilde{M}}(\hat{\sigma}, D\gamma_n\sigma_n) \rightarrow 0$.

By assumption $V^\infty(\hat{\sigma}) \neq V^\infty(\sigma_n)$, so we can apply Lemma 4.9 and construct in \tilde{M} a sequence (X_n, σ'_n) of framed flat boxes with cross sections $Y_n \in C_{2R,\delta}^{n-k}$ of volume at least $vol_{n-k}(Y^*) + C$, and with lengths going to ∞ . Project the frames σ'_n to the compact FM and pass to a convergent subsequence $\pi_*\sigma'_n \rightarrow \pi_*\sigma'$. Using the Selection Theorem (Theorem 4.5) in $C_{2R,\delta}^{n-k}$, pass to a further subsequence so that $Y_n \rightarrow Y'$, where we identify Y_n with a subset of \mathbb{R}^{n-k} using the final $n - k$ vectors of σ'_n . Note that $vol_{n-k}(Y')$ is strictly greater than that of Y^* . Take lifts of this subsequence of frames in FM to frames in $F\tilde{M}$ which converge to σ' . We then see that σ' lies in a framed fat flat with cross-section Y' , contradicting the maximality of (F^*, σ^*) . This contradiction shows that this situation does not arise for maximal framed fat flats, and thus assumption (A) must hold. This finishes the proof. \square

4.3. The case $k \geq 2$. The proof of Theorem 4.1 demonstrates Theorem B, which we restate below.

Theorem 4.13. *Suppose that \tilde{M} contains a fat k -flat F . Then M contains an immersed, closed, totally geodesic fat k -flat.*

Proof. $N_w(F)$ can be equipped with a frame to be a framed fat k -flat. Since framed fat flats exist, there are maximal framed fat flats as described by Lemma 4.11. Let (F^*, σ^*) be such a maximal framed fat flat. Its non-compact factor has dimension $k^* \geq k$. Let $\tilde{N} = \exp(\sigma^*)$. The proof of Theorem 4.1 shows that $N := \pi(\tilde{N})$ is an immersed, closed, totally geodesic k^* -dimensional submanifold with a flat neighborhood. Then for any dimension less than or equal to k^* , in particular k , we can take a closed, totally geodesic k -submanifold of N as the immersed, totally geodesic fat k -flat required for the theorem. \square

As a consequence, we see that if the unbounded region of zero curvature in \tilde{M} contains a flat of dimension $k \geq 2$, then the manifold M will contain uncountably many closed geodesics. More generally, we have:

Corollary 4.14. *Suppose that \tilde{M} contains a fat k -flat. Then for all $1 \leq l < k$, M contains uncountably many immersed, closed, totally geodesic, flat l -submanifolds.*

Proof. Using Theorem 4.13, there is an immersed, closed, totally geodesic, flat k -submanifold N in M . For each $1 \leq l < k$, such a manifold has uncountably many closed, totally geodesic l -submanifolds. These are the submanifolds we want. \square

5. DYNAMICS OF THE GEODESIC FLOW.

We turn our attention to dynamical properties of the geodesic flow for rank-one manifolds where the higher-rank geodesics are precisely those coming from the zero curvature neighborhoods of fat flats, particularly the examples provided by Theorem A. The presence of the fat flat rules out the possibility of applying many of the powerful techniques of hyperbolic dynamics (e.g. establishing conjugacy with a suspension flow over a shift of finite type or establishing the specification property [CLT16]). Despite these difficulties, recent work by Burns, Climenhaga, Fisher and Thompson [BCFT17] has developed the theory of equilibrium states for rank-one geodesic flows. We give a version of the results proved there, adapted to the special case of the examples introduced in this paper. We are able to obtain stronger conclusions than in the general case due to the explicit and simple characterization of the singular set. Definitions of the terms that appear in the following theorem are given in [BCFT17].

Theorem 5.1. *Let (\bar{M}, g) be a manifold given by Theorem A. Let h be the topological entropy of the geodesic flow. We have the following properties:*

- (1) *If φ is Hölder continuous, and $\sup_{x \in \text{Sing}} \varphi(x) - \inf_{x \in T^1 M} \varphi(x) < h$, then φ has a unique equilibrium state. This measure is fully supported;*
- (2) *Let φ^u be the geometric potential. The potentials $q\varphi^u$ have a unique equilibrium state for all $q < 1$. This measure is fully supported;*
- (3) *The Liouville measure is ergodic, and is an equilibrium state for φ^u . Any measure supported on the singular set is also an equilibrium state for φ^u ;*
- (4) *Weighted closed geodesics equidistribute to the unique equilibrium measures in (1) and (2).*

Property (1) is true for any rank-one manifold whose singular set has zero entropy. If, additionally, φ^u vanishes on the singular set, then property (2) holds. This is always the case for a manifold which has all sectional curvatures negative away from the zero curvature neighborhoods of some fat flats. Property (4) requires the cylindrical neighborhood to be twisted, or else there are uncountably many singular geodesics. This is the main additional property that one gains in the twisted cylinder case.

Proof. Properties (1), (2), and (4) are obtained from the main theorems of [BCFT17]. Write Sing for the singular set, $h(\text{Sing})$ for the topological entropy of the flow restricted to the singular set, $P(\varphi)$ for the topological pressure and $P(\text{Sing}, \varphi)$ for the topological pressure for the singular set.

For (1), it is shown that for a closed rank-one manifold M , and a Hölder continuous potential function φ , if $\sup_{x \in \text{Sing}} \varphi(x) - \inf_{x \in T^1 M} \varphi(x) < h - h(\text{Sing})$, then φ has a unique equilibrium state, and this equilibrium state is fully supported. The set Sing can be characterized as those $x \in T^1 M$ so that in the universal cover, the geodesic which passes through \tilde{x} is contained in the zero curvature neighborhood

of the fat flat. This set clearly has zero entropy since the flat geometry shows that a uniformly bounded number of geodesics is sufficient to (t, ϵ) -span the singular set for any t .

For (2), we use the result from [BCFT17] that for $q\varphi^u$ to have a unique equilibrium state it suffices to show that $P(\text{Sing}, q\varphi^u) < P(q\varphi^u)$. We know that $h(\text{Sing}) = 0$ and $\varphi^u(x) = 0$ for all $x \in \text{Sing}$. Hence, $P(\text{Sing}, q\varphi^u) = 0$ for all q . We show that $P(q\varphi^u) > 0$ for all $q \in (-\infty, 1)$. Let μ_L denote the Liouville measure. It follows from the Pesin entropy formula that

$$h_{\mu_L} + \int q\varphi^u d\mu_L = h_{\mu_L} - q\lambda^+(\mu_L) > h_{\mu_L} - \lambda^+(\mu_L) = 0.$$

By the variational principle, $P(q\varphi^u) \geq h_{\mu_L} - \int q\varphi^u d\mu_L > 0$. Thus, $P(\text{Sing}, q\varphi^u) < P(q\varphi^u)$ for all $q \in (-\infty, 1)$.

For (3), it is well known that the Pesin entropy formula implies that μ_L is an equilibrium state for φ^u , and that the restriction of μ_L to the regular set is ergodic. To show that μ_L is ergodic, we only require that $\mu_L(\text{Sing}) = 0$. Since Sing corresponds to a closed lower-dimensional submanifold, it is clear that $\mu_L(\text{Sing}) = 0$. Finally, let μ be a measure supported on Sing (for example, the measure corresponding to the closed geodesic γ). By the variational principle $h_\mu \leq h(\text{Sing}) = 0$ and φ^u vanishes on Sing , so $h_\mu + \int \varphi^u d\mu = 0$, and by the Margulis–Ruelle inequality and Pesin formula, $P(\varphi^u) = 0$. Thus μ is an equilibrium state for φ^u .

For (4), it is shown in [BCFT17] that weighted *regular* closed geodesics equidistribute to the unique equilibrium measures in (1) and (2). Since there is only one closed geodesic that is not regular, this geodesic contributes no mass in the limit, and so in this situation we have that the collection of *all* weighted closed geodesics equidistributes to the unique equilibrium measures in (1) and (2). \square

6. APPENDIX: CURVATURE ESTIMATES.

In this Appendix, we provide a walk-through of the curvature computations needed in the proof of Theorem B. We will use the coordinate system $\{r, \theta, \phi, z\}$ on $\mathbb{R}^4 = \mathbb{R}^3 \times \mathbb{R}$ where $\{r, \theta, \phi\}$ are standard spherical coordinates on the \mathbb{R}^3 factor. In terms of this coordinate system, the metric that appears in the proof of Proposition 3.3 is given by:

$$(6.1) \quad h := dr^2 + \sigma^2(r)d\theta^2 + \sigma^2(r)\sin^2(\theta)d\phi^2 + \tau^2(r)dz^2,$$

where σ, τ are the functions constructed in Proposition 3.1 (note that $d\theta^2 + \sin^2(\theta)d\phi^2$ is the standard round metric on S^2).

Proposition 6.1. *The metric h on \mathbb{R}^4 has non-positive sectional curvature.*

Proof. At every point not on the z -axis, an ordered basis for the tangent space is given by $\{\frac{\partial}{\partial r}, \frac{\partial}{\partial \theta}, \frac{\partial}{\partial \phi}, \frac{\partial}{\partial z}\}$. In terms of the coordinate system, the matrix for the metric h is given by

$$[h_{ij}] = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \sigma^2 & 0 & 0 \\ 0 & 0 & \sigma^2 \sin^2(\theta) & 0 \\ 0 & 0 & 0 & \tau^2 \end{bmatrix}$$

where σ, τ are functions of r . Next we recall (see [dC92, pg. 56, Equation (10)]) that the Christoffel symbols Γ_{ij}^m of second kind are given via the equations:

$$(6.2) \quad \Gamma_{ij}^m = \frac{1}{2} \sum_k \left(\frac{\partial}{\partial x_i} h_{jk} + \frac{\partial}{\partial x_j} h_{ki} - \frac{\partial}{\partial x_k} h_{ij} \right) h^{km}$$

where h^{km} are the entries in the inverse matrix $[h^{km}] = [h_{ij}]^{-1}$. Abusing notation, we will use the indices r, θ, ϕ, z to denote the corresponding vectors in the ordered basis. We now use equation (6.2) to compute the four matrices $\Gamma^r, \Gamma^\theta, \Gamma^\phi$ and Γ^z , and obtain

$$\begin{aligned} [\Gamma_{ij}^r] &= \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & -\sigma\sigma' & 0 & 0 \\ 0 & 0 & -\sigma\sigma' \sin^2(\theta) & 0 \\ 0 & 0 & 0 & -\tau\tau' \end{bmatrix} & [\Gamma_{ij}^\theta] &= \begin{bmatrix} 0 & \sigma'/\sigma & 0 & 0 \\ \sigma'/\sigma & 0 & 0 & 0 \\ 0 & 0 & -\frac{1}{2} \sin(2\theta) & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \\ \\ [\Gamma_{ij}^\phi] &= \begin{bmatrix} 0 & 0 & \sigma'/\sigma & 0 \\ 0 & 0 & \cot(\theta) & 0 \\ \sigma'/\sigma & \cot(\theta) & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} & [\Gamma_{ij}^z] &= \begin{bmatrix} 0 & 0 & 0 & \tau'/\tau \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \tau'/\tau & 0 & 0 & 0 \end{bmatrix} \end{aligned}$$

Finally, the components of the curvature 4-tensor $(u, v, w, z) = \langle R(u, v)w, z \rangle$ are obtained by evaluating the tensor on the basis vectors. These can be calculated via the formula $R_{ijk}s = \sum_l R_{ijk}^l h_{ls}$, where R_{ijk}^l can be computed (see [dC92, pg. 93, Equation (2)]) from the Christoffel symbols via the equations

$$(6.3) \quad R_{ijk}^s = \sum_l \Gamma_{ik}^l \Gamma_{jl}^s - \sum_l \Gamma_{jk}^l \Gamma_{il}^s + \frac{\partial}{\partial x_j} \Gamma_{ik}^s - \frac{\partial}{\partial x_i} \Gamma_{jk}^s.$$

Since our ordered basis has four vectors, there are $4^4 = 256$ components $R_{ijk}s$ for the curvature 4-tensor. Symmetries of the curvature 4-tensor forces many of these to vanish. In fact, after taking into account the symmetries, it is sufficient to calculate the six components of the form R_{ijij} (where $i < j$ are distinct), the twelve components of the form R_{ijik} (where i, j, k are distinct), and the three components $R_{r\theta\phi z}$, $R_{r\phi z\theta}$, and $R_{rz\theta\phi}$ (these three are related via the Bianchi identity).

As the matrices $\Gamma^r, \Gamma^\theta, \Gamma^\phi$ and Γ^z are very sparse, for fourteen of these twenty-one tensor components, all the individual terms appearing in the sum in Equation (6.3) are equal to zero. The seven remaining tensor components that have some non-zero term are $R_{\phi\theta\phi r}$, and the six components which arise in the curvature computation for the coordinate hyperplanes: $R_{\theta r\theta r}$, $R_{z\theta z\theta}$, $R_{zr z r}$, $R_{\phi r\phi r}$, $R_{\phi z\phi z}$, and $R_{\phi\theta\phi\theta}$. For example, for the mixed component $R_{\phi\theta\phi r} = R_{\phi\theta\phi}^r$, the non-zero terms are:

$$\begin{aligned} R_{\phi\theta\phi}^r &= \Gamma_{\phi\phi}^\theta \Gamma_{\theta\theta}^r - \Gamma_{\theta\phi}^\phi \Gamma_{\phi\phi}^r + \frac{\partial}{\partial \theta} \Gamma_{\phi\phi}^r \\ &= \left(-\frac{1}{2} \sin(2\theta) \right) (-\sigma\sigma') - (\cot(\theta)) (-\sigma\sigma' \sin^2(\theta)) + \frac{\partial}{\partial \theta} (-\sigma\sigma' \sin^2(\theta)) \end{aligned}$$

and trigonometric identities reduce the sum to zero. Finally, for the last six components, a calculation shows these are non-zero, and are given by:

$$(6.4) \quad R_{\theta r \theta r} = -\sigma \sigma'' \quad R_{\phi r \phi r} = -\sigma \sigma'' \sin^2(\theta)$$

$$(6.5) \quad R_{z r z r} = -\tau \tau'' \quad R_{\phi \theta \phi \theta} = (1 - (\sigma')^2) \sigma^2 \sin^2(\theta)$$

$$(6.6) \quad R_{\theta z \theta z} = -\sigma \sigma' \tau \tau' \quad R_{\phi z \phi z} = -\sigma \sigma' \tau \tau' \sin^2(\theta)$$

From the properties of the functions σ, τ (see Proposition 3.1), it is now immediate that all six of these tensor components are non-positive.

Finally, we recall that, for an arbitrary tangent 2-plane H , the sectional curvature $K(H)$ is computed by choosing any two linearly independent vectors u, v , and calculating

$$K(H) := \frac{\langle u, v, u, v \rangle}{\|u\| \cdot \|v\| - \langle u, v \rangle}$$

(this expression is independent of the choice of vectors). In our setting, if H is an arbitrary tangent 2-plane, we can choose orthonormal $u, v \in H$, and express them as a linear combination of the coordinate vectors $u = u_r \frac{\partial}{\partial r} + u_\theta \frac{\partial}{\partial \theta} + u_\phi \frac{\partial}{\partial \phi} + u_z \frac{\partial}{\partial z}$ and $v = v_r \frac{\partial}{\partial r} + v_\theta \frac{\partial}{\partial \theta} + v_\phi \frac{\partial}{\partial \phi} + v_z \frac{\partial}{\partial z}$. Then the sectional curvature of $K(H)$ computes to:

$$\begin{aligned} K(H) &= \langle u, v, u, v \rangle = \langle R(u, v)u, v \rangle \\ &= (u_r^2 v_\theta^2 + u_\theta^2 v_r^2) R_{\theta r \theta r} + (u_z^2 v_r^2 + u_r^2 v_z^2) R_{z r z r} + (u_z^2 v_\theta^2 + u_\theta^2 v_z^2) R_{\theta z \theta z} \\ &\quad + (u_r^2 v_\phi^2 + u_\phi^2 v_r^2) R_{\phi r \phi r} + (u_\theta^2 v_\phi^2 + u_\phi^2 v_\theta^2) R_{\phi \theta \phi \theta} + (u_z^2 v_\phi^2 + u_\phi^2 v_z^2) R_{\phi z \phi z}, \end{aligned}$$

which is a positive linear combination of six non-positive expressions, see Equations (6.4)- (6.6). Thus we conclude that $K(H) \leq 0$. Since this holds for arbitrary tangent 2-planes, this metric is non-positively curved away from the z -axis, and a continuity argument then shows it is non-positively curved everywhere. This completes the proof of the Proposition. \square

As a corollary of the previous computation, we immediately obtain the following 3-dimensional estimate. Consider \mathbb{R}^3 with cylindrical coordinates $\{r, \theta, z\}$, and with Riemannian metric

$$(6.7) \quad g := dr^2 + \sigma^2(r) d\theta^2 + \tau^2(r) dz^2.$$

Note that this is the $n = 1$ case of the metric on \mathbb{R}^{2n+1} introduced in the proof of Proposition 3.3, see Equation (3.2).

Recall that the fixed point set of any isometry is totally geodesic. Since (\mathbb{R}^3, g) is isometric to the fixed point set of the isometric involution $(r, \theta, \phi, z) \mapsto (r, \theta, \pi - \phi, z)$ defined on (\mathbb{R}^4, h) , we immediately obtain

Corollary 6.2. *The metric g on \mathbb{R}^3 has non-positive sectional curvatures.*

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